

Forward

This National Security Strategy, completed in December 2013, is the first comprehensive and strategic policy document to be compiled by the Government of Japan. It outlines Japan's intentions and the approach it will take, as a major and responsible player, to contribute more proactively to the peace, stability and prosperity of the international community, while firmly maintaining its fundamental peaceful orientation.

In today's interconnected and globalized world, various kinds of threats easily cross national borders. No single country can protect peace and security on its own. Japan is a global power with a rich culture and tradition that upholds universal values such as freedom, democracy, respect for fundamental human rights and the rule of law. Furthermore, Japan, as a maritime state that has pursued a policy of "open and stable seas", can only ensure its own peace and security by actively engaging in efforts to make the entire world a more peaceful and secure place.

This strategy first examines Japan's national interests and objectives, then identifies the security challenges it faces, and lastly elaborates on the approach Japan will take, including working in close cooperation with its partners around the globe, to vigorously and appropriately make "proactive contribution to peace."

More importantly, the Government of Japan will implement these national security policies in a more strategic and structured manner, under the direction of the newly established National Security Council. As Prime Minister, I will continue to engage in comprehensive global diplomacy at the highest level to protect the safety of the Japanese public and to promote a brighter future for the international community.

I sincerely hope that readers of this document will gain a better understanding of the basic views and genuine intentions of Japan's security policies.

Shinge Abe,



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National Security Strategy: Summary Overview

Fundamental Principles of National Security

"Proactive Contribution to Peace" based on the principles of international cooperation

The security environment surrounding Japan is becoming ever more severe. On top of threats such as weapons of mass destruction and ballistic missiles, there are a growing number of new threats, such as cyber-attacks. Such threats easily cross national borders and, in the current environment, no single country can protect its peace and security on its own. To protect Japan's peace, it is essential to cooperate with the international community and ensure regional and global peace.

From this perspective, Japan will continue to maintain its peaceful orientation and contribute to ensuring peace, stability and prosperity of the international community more proactively than before, as a major player in world politics and the global economy, while achieving its own security as well as peace and stability in the Asia-Pacific region.

What does the National Security Strategy regard as important?

As a maritime state surrounded by the sea on all sides, Japan will work to maintain and develop "open and stable seas".

As a country with economic strength and technological capabilities, Japan will strengthen the open and rule-based international economic system and promote the development of the global economy, along with the growth and prosperity of the Japanese economy.

Japan will work on global issues in cooperation with the United States and other partners with shared values such as freedom, democracy, respect for basic human rights, and the rule of law.

Furthermore, Japan will enhance its own foundations as necessary to promote these policies.

With these important points in mind, the Japanese government as a whole will implement various strategic approaches.

Japan's National Interests And National Security Objectives

National interests

Japan's national interests are, first and foremost, to maintain its sovereignty and independence; to defend its territorial integrity; to ensure the safety of life, person, and properties of its nationals, and to ensure its survival while maintaining its own peace and security grounded on freedom and democracy and preserving its rich culture and tradition.

In addition, Japan's national interests are to achieve the prosperity of Japan and its nationals through economic development, thereby consolidating its peace and security. To this end, especially in the Asia-Pacific region, it is essential that Japan, as a maritime state, strengthens the free trade regime for accomplishing economic development through free trade and competition, and realizes an international environment that offers stability, transparency and predictability.

Similarly, the maintenance and protection of international order based on rules and universal values, such as freedom, democracy, respect for fundamental human rights, and the rule of law, are likewise in Japan's national interests.

National security objectives

In order to safeguard these national interests and to fulfill our responsibilities in the international community, Japan will seek to achieve the following national security objectives:

- Strengthen the deterrence necessary for maintaining Japan's peace and security and for ensuring survival, thereby deterring threats from directly reaching Japan. Ensure that if any threat were to reach Japan, it would be defeated and damage would be minimized.
- Improve the security environment of the Asia-Pacific region and reduce direct threats to Japan through strengthening the Japan-US Alliance, enhancing trust and cooperative relations with partners and promoting practical security cooperation.
- Improve the global security environment and build a peaceful, stable and prosperous international community by strengthening international order based on shared values and rules and by playing a proactive role in the settlement of disputes.

Security Environment Surrounding Japan and National Security Challenges

1. The Global Security Environment and Challenges

Since the beginning of the 21st century, the balance of power in the international community has been changing on an unprecedented scale, substantially influencing the dynamics of international politics. These changes, and related issues, include:

(1) The Shift in the Balance of Power and Rapid Progress of Technological Innovation

•Emerging countries such as China are greatly affecting global governance. The relative influence between states and non-state actors is changing. (Threats of terrorism and crimes by non-state actors.)

(2) Threat of Proliferation of Weapons of Mass Destruction and Other Related Materials

• Transfer and proliferation of weapons of mass destruction and ballistic missiles are major threats to Japan and the international community. In particular, the issue of nuclear capabilities and missile development by North Korea, and nuclear developments in Iran are grave threats.

(3) The Threat of International Terrorism

•The threat of terrorism remains serious. International terrorism has spread and become diverse in its forms, and Japan and its people face the threat of international terrorism both at home and abroad. Recent cases of international terrorism have underscored the increasing importance of combating terrorism through international cooperation.

(4) Risks to Global Commons

- Cases of conflicts of interest over the sea are increasing. There is a growing risk of incidents at sea, and of possible escalation into unexpected situations.
- Congestion of outer space has heightened. There are risks that could impede the continuous and stable use of outer space with an increasing amount of space debris, as well as with the development of counter-space weapons.
- Risks of cyber-attacks with the intent to steal classified information, disrupt critical infrastructure and obstruct military systems, are becoming more serious.

(5) Challenges to Human Security

• Global issues such as poverty, health, environment, food security, and humanitarian crises are challenges to human security that cannot be dealt with by a single country and are emerging as critical issues of global security.

(6) Risks for the Global Economy

• The risk of the expansion of an economic crisis from one country to the entire global economy is growing. Under these circumstances, there are concerns over the rise of resource nationalism, intensified competition for energy and mineral resources.

2. Security Environment and Challenges in the Asia-Pacific Region

(1) Characteristics of the Strategic Environment of the Asia-Pacific Region

•The shift in the global power balance has elevated the importance of the Asia-Pacific region in the international community. Northeast Asia is home to a host of actors, including countries with large-scale military forces, some of which possess nuclear weapons or are continuing with nuclear development. A regional cooperation framework in the security realm has not been sufficiently institutionalized.

(2) North Korea's Military Buildup and Provocative Actions

•North Korea's increased capabilities for WMDs including nuclear weapons and ballistic missiles, combined with its provocative actions, are increasing tensions in the region. In particular, the development of ballistic missiles, some of which can reach the US, and attempts to miniaturize nuclear weapons for warheads are substantially aggravating these tensions. Furthermore, the grave issue of Japanese nationals abducted by North Korea state must be addressed.

(3) China's Rapid Rise and Intensified Activities in Various Areas

•There is an expectation for China to share and comply with international norms, and play a more active role for regional and global issues. On the other hand, China has been rapidly advancing its military capabilities in a wide range of areas through its increased military budget without sufficient transparency. China has also made attempts to change the status quo by coercion in the maritime and aerial domains including the East China Sea and the South China Sea, which are incompatible with the existing order of international law. Such activities have become an issue of concern for the international community.

Japan's Strategic Approaches to National Security

Japan will take the following concrete strategic approaches, centering on diplomatic policy and defense policy:

1.Strengthening and Expanding Japan's Capabilities and Roles

(1) Strengthening Diplomacy to Create a More Stable International Environment

- The key to national security is to create a stable and predictable international environment, and prevent the emergence of threats.
- Realize an international order and security environment that are desirable for Japan.

- Make a proactive contribution to international organizations.
- Enhance diplomatic creativity and negotiating power.

(2) Building a Comprehensive Defense Architecture to Firmly Defend Japan

- Efficiently develop joint defense forces and ensure operations with flexibility and readiness based on joint operations.
- Maintain and improve comprehensive architecture for responding seamlessly to an array of situations.
- •In developing the structure of the SDF, prioritize important functions from a joint and comprehensive perspective to enhance defense structure for deterrence and response to various situations.

(3) Strengthening Efforts for the Protection of Japan's Territorial Integrity

- Enhance capabilities of law enforcement agencies and reinforce maritime surveillance capabilities.
- Protect, manage and develop remote islands near national borders, study the situation of land ownership in places such as areas surrounding defense facilities.
- Strengthen coordination to respond seamlessly to unexpected situations.

(4) Ensuring Maritime Security

- •Play a leading role to maintain and develop "open and stable seas."
- •Comprehensively strengthen maritime domain awareness.
- Enhance frequency and quality of bilateral and multilateral cooperation such as joint exercises, and strengthen cooperation with partners.

(5) Strengthening Cyber Security

- Comprehensively promote measures to defend cyberspace and strengthen response capability against cyber-attacks.
- •Strengthen public-private partnership and international coordination.

(6) Strengthening Measures against International Terrorism

- •Build networks where information held by private sector firms relating to terrorist risks can be shared.
- Reinforce structures for assessing international terrorism threats and reinforce overseas information-collecting capabilities.



Patrol aircraft that works on caution monitoring (Photo: Japan Ministry of Defense)



Area security (Photo: Japan Coast Guard)

(7) Enhancing Intelligence Capabilities

- Fundamentally strengthen information-collecting capabilities from a diverse range of sources.
- Enhance intelligence analysis, consolidation, and sharing capabilities by bolstering human resources and promote all-source analysis.

(8) Cooperating on Defense Equipment and Technology

•Set out clear principles on the overseas transfer of defense equipment and technology that fit the new security environment.

(9) Ensuring the Stable Use of Outer Space and Promote its Use for Security Purposes

• Improve foundations of science, technology and industry that support the development and utilization of outer space and promote utilization of outer space from a security perspective.

(10) Strengthening Technological Capabilities

- Encourage the further promotion of technologies, including dual-use technologies.
- •Make effective use of technology in the area of security, by combining the efforts of industries, academia, and the Government.
- Proactively utilize environment-related technologies in diplomacy.

2. Strengthening the Japan-US Alliance

The Japan-US Alliance is the cornerstone of Japan's security. For more than 60 years, it has played an indispensable role for peace and security in Japan as well as peace and stability in the Asia-Pacific region. In recent years, the Alliance has also played a more critical role for peace, stability, and prosperity in the international community. For the US, the Alliance has served as the core of its alliance network with countries in the region and as a core foundation for US strategy in the Asia-Pacific region. In order to further strengthen the alliance, Japan seeks to:

(1) Further Strengthen Japan-U.S. Security and Defense Cooperation in a Wide Range of Areas.

 Revise the Guidelines for Japan-US Defense Cooperation, strengthen security cooperation in broad areas such as ballistic missile defence(BMD), maritime affairs, outer space, cyberspace.

(2) Ensure a Stable Presence of U.S. Forces

• Steadily implement the realignment of US forces in Japan and reduce the impact on people living near military facilities and areas in Okinawa and other regions.



Japan and the United States cooperate and make a disaster rescue operation (Photo: Japan Ministry of Defense)

3. Strengthening Diplomacy and Security Cooperation with Japan's Partners for Peace and Stability in the International Community

In addition to strengthening the Japan-US Alliance, Japan will engage itself in building trust and cooperative relations with other partners in the Asia Pacific region and beyond through the following approaches:

(1) Strengthening Cooperative Relations with Countries that Share Common Values and Strategic Interests

- ▶ Republic of Korea: Construct future-oriented and multi-layered relations and strengthen the foundation for security cooperation. Strengthen trilateral cooperation among Japan, the U.S. and the BOK
- ▶ Australia: In addition to strengthening economic relations, enhance the strategic partnership by advancing security cooperation. Utilize the trilateral framework among Japan, the US and Australia.
- ASEAN countries: Based on the traditional partnership, further deepen and develop cooperative relations in all sectors. Support efforts towards the formulation of a Code of Conduct(COC) on the South China Sea issue.
- India: Strengthen relations in a broad range of areas including maritime security, based on the bilateral Strategic and Global Partnership.



East Asia Summit (EAS) (Photo:Public Relations Office, Cabinet Office)

(2) Building Stable Relations with China

- Construct and enhance a Mutually Beneficial Relationship Based on Common Strategic Interests in all areas from a broad perspective in the medium-to-long-term.
- Encourage China to play a responsible and constructive role for regional peace, stability and prosperity. Promote measures such as establishing a framework to avert or prevent unexpected situations.
- •Urge China to exercise self-restraint and continue to respond in a firm but calm manner to China's attempts to change the status quo by coercion in the East China Sea and South China Sea.

(3) Responding to Issues Around North Korea

• Urge North Korea to take concrete actions towards denuclearization and other goals. Endeavor to achieve a comprehensive resolution of outstanding issues of concern, such as abduction, nuclear and missile issues, in accordance with the Japan-North Korea Pyongyang Declaration.

(4) Promoting Cooperation with Russia

- Advance cooperation in all areas including security and energy.
- Proactively negotiate with Russia on the issue of the Northern Territories, maintaining a consistent policy of seeking to resolve the issue of attribution of the four islands and to conclude a peace treaty.

(5) Actively Utilizing Frameworks for Regional Cooperation

• In promoting the efforts mentioned in (1) to (4), utilize functional and multilayered regional cooperation frameworks and actively engage to develop them further. Contribute to the creation of a more institutional security framework in East Asia in the future.

(6) Cooperating with Other Partners in the Asia-Pacific Region to Help Ensure the Stability of the Region

• Cooperate with partners in the region. Deepen cooperation with Pacific Island Countries in many areas including on issues of maritime cooperation.

(7) Strengthening Cooperative Relations with Countries Outside the Asia-Pacific Region

- Further strengthen relations with Europe.
- Further develop relations with emerging countries not merely on a bilateral basis but on global challenges.
- Construct multilayered cooperative relations with countries in the Middle East.
- Contribute to Africa's development and consolidation of peace through various avenues, especially through the Tokyo International Conference on African Development (TICAD) process.

4. Proactive Contribution to International Efforts for Peace and Stability of the International Community

As a proactive contributor to peace based on the principle of international cooperation, Japan will play an active role for the peace and stability of the international community through the following approaches:

(1) Strengthening Diplomacy at the United Nations

 Actively contribute to UN efforts including on Peace Keeping Operations(PKO) and collective security measures. Strive to achieve UNSC reform and allow Japan to become a permanent member of the council.

(2) Strengthening the Rule of Law

• Participate proactively in international rule-making. Actively contribute to developing international rules and confidence building measures relating to the sea, outer space and cyberspace.

(3) Leading International Efforts Towards Disarmament and Non-Proliferation

- Vigorously work to seek "a world free of nuclear weapons."
- •Lead international efforts, including on the resolution of nuclear issues in North Korea and Iran.
- Actively participate in the international export control regime.

(4) Promoting International Peace Cooperation

- Step up cooperation with UN PKO and other international peace activities.
- Utilize Official Development Assistance(ODA) and capacity-building assistance and coordinate with NGOs. Train peace-building experts and PKO personnel in various countries.



PKO in South Sudan (Photo:Japan Ministry of Defense)

(5) Promoting International Cooperation against International Terrorism

• Reinforce international legal frameworks and assist developing countries.

5. Strengthening Cooperation Based on Shared Values to Resolve Global Issues

Japan will endeavor to promote a shared set of common values and reinforce the open international economic system, which together form the basis of peace, stability and prosperity in the international community. It will also seek to resolve global issues that could hinder peace and stability through the following measures:

(1) Promoting Common Values

- •Actively utilize ODA in supporting democratization and contribute to enhancement of the growing international trend towards protection of human rights.
- Engage proactively in diplomatic issues on women.

(2) Responding to Global Development, Global Issues and Realizing Human Security

- Utilize ODA and strengthen efforts towards achieving the Millennium Development Goals (MDGs).
- Play a leading role in the formulation of new international development goals. Take the lead in international cooperation on disaster management.

(3) Cooperating with Human Resource Development Efforts in Developing Countries

•Invite a broad range of personnel and provide opportunities for familiarization with Japanese systems, technologies and expertise.

(4) Maintaining and Strengthen the Free Trade System

• Promote economic partnership including through the Trans-Pacific Partnership(TPP) and contribute to growth of the global economy. Bring economic growth to Japan.

(5) Responding to Energy and Environmental Issues

- •Secure a stable and low-cost resource supply and promote diversification of supply sources.
- Implement a proactive strategy for countering global warming (Actions for Cool Earth, or 'ACE') that utilizes the strength in technologies on environment and energy, and provide assistance to developing countries.

(6) Enhance People-to-People Exchanges

- •Expand two-way youth exchanges.
- Promote people-to-people exchanges through events of interest for the world, such as the 2020 Olympic and Paralympic Games in Tokyo.

6. Strengthening the Domestic Foundation that Supports National Security and Promoting Domestic and Global Understanding

In order to seek a deeper understanding of Japan's security policies, both at home and abroad, Japan will advance the following measures:

(1) Maintaining and Enhance Defense Production and Technological Bases

• Engage in effective and efficient acquisition of defense equipment. Maintain and enhance defense production and technological bases, including through strengthening international competitiveness.

(2) Boosting Communications Capabilities

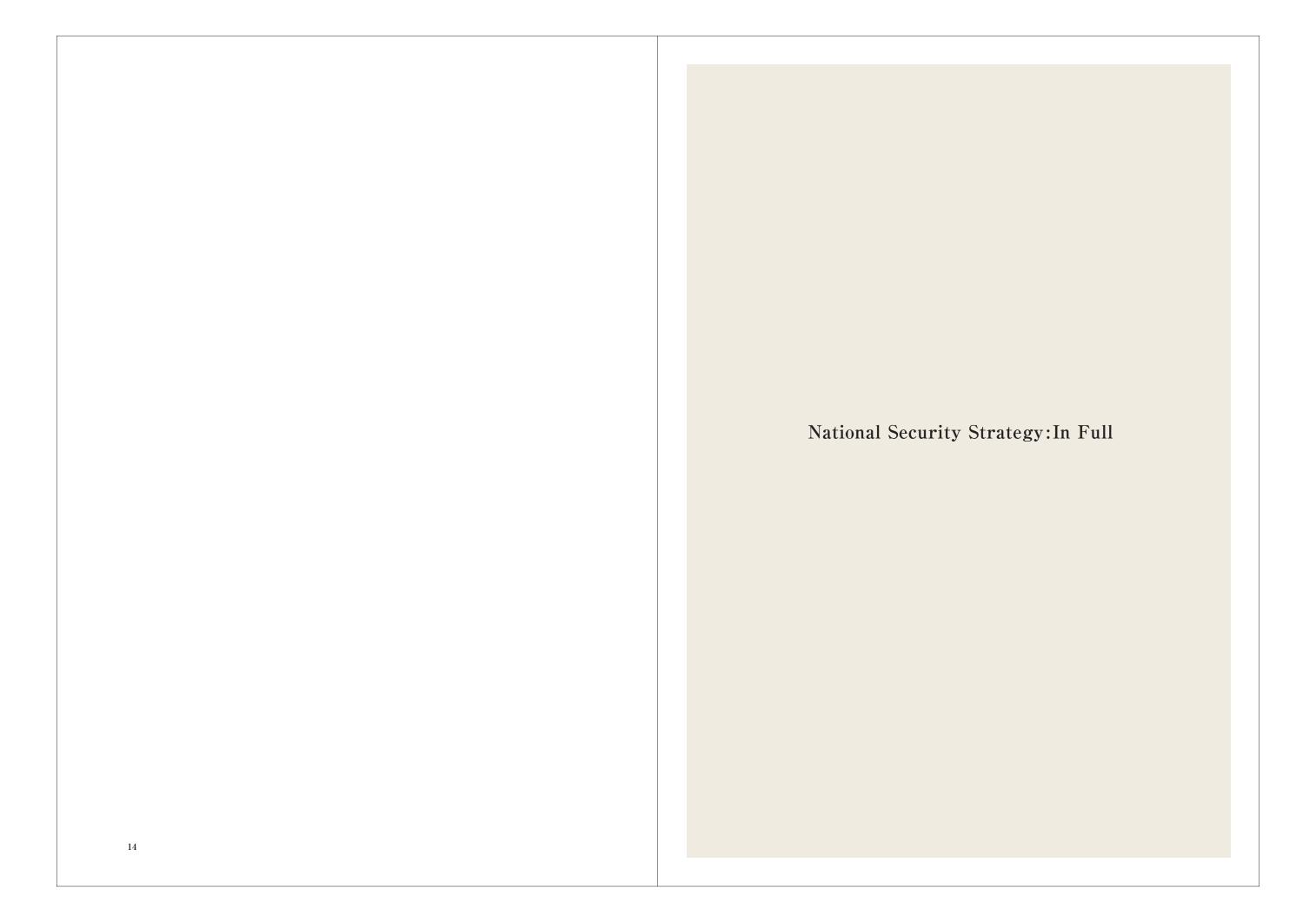
- Japan will enhance its public relations in an integrated and strategic manner and strengthen information dissemination in foreign languages.
- Promote Japanese language education overseas, train personnel capable of contributing to strategic public relations.

(3) Reinforcing Societal Awareness within Japan

- Foster respect for other countries and their people as well as love for the country and region.
- Raise awareness on security issues, ensure understanding and cooperation of residents around defense facilities that serve as the foundation for activities of the SDF and US forces in Japan.

(4) Enhancing Japan's Knowledge Base

- Enhance and strengthen education on security-related subjects at institutions of higher education.
- Deepen exchanges among the Government, higher education institutions and think tanks, thereby promoting the sharing of insights and knowledge.



December 17, 2013
Decision by National Security Council
by Cabinet council

I. Purpose

Maintaining the peace and security of Japan and ensuring its survival are the primary responsibilities of the Government of Japan. As Japan's security environment becomes ever more severe, Japan needs to identify its national interests from a long-term perspective, determine the course it should pursue in the international community, and adopt a whole-government approach for national security policies and measures in order to continue developing a prosperous and peaceful society.

Japan has contributed to peace, stability and prosperity of the region and the world. In a world where globalization continues, Japan should play an even more proactive role as a major global player in the international community.

Based on such a recognition, the Government of Japan hereby sets forth this National Security Strategy (hereinafter referred to as "the Strategy") in order to set out Japan's fundamental policies pertaining to national security.

The Strategy first elaborates on Japan's peaceful orientation to date and the policy of "Proactive Contribution to Peace" based on the principle of international cooperation, examines its national interests and identifies its national security objectives. Furthermore, the Strategy identifies national security challenges Japan faces, taking into account the trends of the security environment surrounding Japan. Finally, the Strategy presents strategic approaches to be taken for national security, with diplomatic and defense policies at their core, based on the recognition that in order to overcome the challenges and achieve its objectives, Japan needs to effectively utilize its diverse resources and promote comprehensive measures, strengthen the domestic foundation for national security and seek deeper understanding both at home and abroad, and advance efforts at various levels in a multifaceted and coordinated manner.

The Strategy, as fundamental policies pertaining to national security, presents guidelines for policies in areas related to national security, including sea, outer space, cyberspace, official development assistance (ODA) and energy.

Pursuant to the Strategy, and with the National Security Council (NSC) serving as the control tower, as well as with strong political leadership, the Government of Japan will implement national security policies in a more strategic and structured manner through a whole-government approach.

In addition, when implementing policies in other areas, the Government of Japan will give due consideration to national security so that Japan can utilize its strengths, such as its diplomatic ability and defense capability, in a smooth and fully-functional way as a whole, based on the Strategy.

The Strategy will guide Japan's national security policy over the next decade. Through the implementation of concrete policies, the NSC will regularly carry out systematic evaluation and upgrade the Strategy in a timely and appropriate manner. Should any major changes in the situation occur, the NSC will review this Strategy in consideration of the security environment at the time, and make necessary revisions.

II. Fundamental Principle of National Security

1 Principles Japan Upholds

Japan is a country with rich culture and tradition, and upholds universal values, such as freedom, democracy, respect for fundamental human rights and the rule of law. Japan has a wealth of highly educated human capital and high cultural standards, and is an economic power with strong economic capacity and high technological capabilities. Japan has achieved its development benefiting from an open international economic system.

Surrounded by the sea on all sides and blessed with an immense exclusive economic zone and an extensive coastline, Japan as a maritime state has achieved economic growth through maritime trade and development of marine resources, and has pursued "Open and Stable Seas."

Japan has consistently followed the path of a peace-loving nation since the end of World War II. Japan has adhered to a basic policy of maintaining an exclusively national defense-oriented policy, not becoming a military power that poses a threat to other countries, and observing the Three Non-Nuclear Principles.

In addition, Japan has maintained its security, and contributed to peace and stability in the Asia-Pacific region, by enhancing its alliance with the United States (U.S.) with which it shares universal values and strategic interests, as well as by deepening cooperative relationships with other countries.

Moreover, Japan has contributed to the realization of stability and prosperity in the international community through initiatives for supporting the economic growth of developing countries and for addressing global issues based on the principle of human security, as well as through trade and investment relations with other countries. In particular, Japan's cooperation contributed to realizing stability, economic growth and democratization in many countries, especially those in Asia, including the member states of the Association of Southeast Asian Nations (ASEAN).

Furthermore, as a peace-loving nation, complying with the United Nations (U.N.) Charter, Japan has been cooperating with the U.N. and other international organizations, and has actively contributed to their activities. In particular, Japan has continuously participated in U.N. peacekeeping operations (PKO), as the role of military forces diversified after the end of the Cold War. In addition, as the only country to have ever suffered atomic bombings in war, Japan has consistently engaged in disarmament and non-proliferation efforts, playing a leading role in international initiatives to realize "a world free of nuclear weapons."

The course that Japan has taken as a peace-loving nation has garnered significant praise and respect from the international community, and Japan must continue these steps to further consolidate such a position.

At the same time, surrounded by an increasingly severe security environment and confronted by complex and grave national security challenges, it has become indispensable for Japan to make more proactive efforts in line with the principle of international cooperation. Japan cannot secure its own peace and security by itself, and the international community expects Japan to play a more proactive role for peace and stability in the world, in a way commensurate with its national capabilities.

Against this backdrop, under the evolving security environment, Japan will continue to adhere to the course that it has taken to date as a peace-loving nation, and as a major player in world politics and economy, contribute even more proactively in securing peace, stability, and prosperity of the international community, while achieving its own security as well as peace and stability in the Asia-Pacific region, as a "Proactive Contributor to Peace" based on the principle of international cooperation. This is the fundamental principle of national security that Japan should stand to hold.

2 Japan's National Interests and National Security Objectives

In order to achieve the fundamental principle of national security by implementation of concrete policies, the Government of Japan needs to define our national interests and national security objectives, examine them in the context of the constantly evolving security environment, and mobilize all possible means.

Japan's national interests are, first of all, to maintain its sovereignty and independence; to defend its territorial integrity; to ensure the safety of life, person, and properties of its nationals, and to ensure its survival while maintaining its own peace and security grounded on freedom and democracy and preserving its rich culture and tradition.

In addition, Japan's national interests are to achieve the prosperity of Japan and its nationals through economic development, thereby consolidating its peace and security. To this end, especially in the Asia-Pacific region, it is essential that Japan, as a maritime state, strengthens the free trade regime for accomplishing economic development through free trade and competition, and realizes an international environment that offers stability, transparency and predictability.

Similarly, the maintenance and protection of international order based on rules and universal values, such as freedom, democracy, respect for fundamental human rights, and the rule of law, are likewise in Japan's national interests.

In order to safeguard these national interests and to fulfill our responsibility in the international community, Japan, adopting the policy of "Proactive Contribution to Peace" based on the principle of international cooperation as a fundamental principle, will seek to achieve the following national security objectives.

The first objective is to strengthen the deterrence necessary for maintaining its peace and security and for ensuring its survival, thus deterring threats from directly reaching Japan; at the same time, if by any chance a threat should reach Japan, to defeat such threat and to minimize the damage.

The second objective is to improve the security environment of the Asia-Pacific region, and prevent the emergence of and reduce direct threats to Japan, through strengthening the Japan-U.S. Alliance, enhancing the trust and cooperative relationships between Japan and its partners within and outside the Asia-Pacific region, and promoting practical security cooperation.

The third objective is to improve the global security environment and build a peaceful, stable, and prosperous international community by strengthening the international order based on universal values and rules, and by playing a leading role in the settlement of disputes, through consistent diplomatic efforts and further personnel contributions.

III. Security Environment Surrounding Japan and National Security Challenges

1 Global Security Environment and Challenges

(1) Shift in the Balance of Power and Rapid Progress of Technological Innovation

Since the beginning of the twenty first century, the balance of power in the international community has been changing on an unprecedented scale, and this has substantially influenced the dynamics of international politics.

The primary drivers of this change in the balance of power are the emerging countries, including China and India. In particular, China is further increasing its presence in the international community. On the other hand, though its relative influence in the international community is changing, the U.S. remains the country that has the world's largest power as a whole, composed of its soft power originating from its values and culture, on top of its military and economic power. Furthermore, the U.S. has manifested its policy to shift its emphasis of national security and economic policy towards the Asia-Pacific region (the "rebalance" policy).

While the change in the balance of power has encouraged the shift of the center of gravity of world politics and economy from the Atlantic to the Pacific, it has also been a reason for a weakening leadership in global governance, as exemplified by the stalled negotiations in the World Trade Organization (WTO) and of the United Nations Framework Convention on Climate Change (UNFCCC). In addition, while the rapid advancement of globalization and technological innovation has deepened interdependence among states, it has also invited a change in the relative influence between states and non-state actors, and brought about a complex impact on the global security environment.

Sovereign states remain the principal actors in the international community, and conflict and coordination between states continue to be the most significant factors affecting global stability. However, as cross-border flow of people, goods, capital, information and other items have been facilitated more easily by the advancement of globalization, non-state actors are beginning to play a more important role in decision-making in the international community.

In addition, the advancement of globalization and technological innovation bears negative impact. Terrorism and crimes committed by non-state actors are posing serious threats to the security of any country. Today, these threats, irrespective of where they originate in the world, could instantly have a direct influence on the security of Japan.

(2) Threat of the Proliferation of Weapons of Mass Destruction and Other Related Materials

As the only country to have ever suffered atomic bombings in war, Japan best understands the tragedy of the use of nuclear weapons and shoulders the responsibility to realize "a world free of nuclear weapons." The issue of the transfer, proliferation, and performance improvement of weapons of mass destruction (WMD), including nuclear, biological, and chemical weapons (NBC), and their means of delivery, such as ballistic missiles, remain major threats to Japan and the international community. In particular, the issue of nuclear and missile development by North Korea and the nuclear issue of Iran continue to pose grave threats to peace and stability, not only in each region but also in the entire international community. Moreover, there remain concerns over the acquisition and the use of WMD and related items by non-state actors, including international terrorist organizations, against which traditional deterrence may not function effectively.

(3) Threat of International Terrorism

Terrorist attacks continue to occur around the world, and the threat of terrorism by international terrorist organizations remains serious. The advancement of globalization has made it easier for those organizations to share information and conspire within their own organizations and with other groups, and to secure

geographical access and acquire arms.

International terrorism has spread and become diverse in its forms. International terrorist organizations are utilizing politically unstable and weakly governed countries and regions as bases for operation and training for terror activities. The ideologies of such terrorist organizations are also motivating other groups and individuals to commit terrorist acts.

Some international terrorist organizations designate Japan as their target. Terrorist attacks against Japanese nationals and interests have actually taken place overseas. Japan and its people face the threat of international terrorism both at home and abroad. Diversity of nationality of the perpetrators and victims in recent international terrorism cases has underscored the increasing importance of combating terrorism through international cooperation.

(4) Risks to Global Commons

In recent years, risks that can impede the utilization of and free access to global commons, such as the sea, outer space, and cyberspace, have been spreading and become more serious. While the seas are governed by international maritime law, in particular the United Nations Convention on the Law of the Sea (UNCLOS), there have been an increasing number of cases of unilateral actions in an attempt to change the status quo by coercion without paying respect to existing international law. With regard to outer space and cyberspace, applicable norms remain to be developed due to the different positions among relevant countries.

Against such a backdrop, not only for economic development but also for the national security of each country, it has therefore become even more important to promote appropriate international rule-making over global commons and to make concerted efforts by the international community while respecting such rules.

"Open and Stable Seas" constitute the basis for peace and prosperity of the international community as a whole. In this regard, each state has been tackling on its own or with others various issues including piracy, unidentified vessels, illegal dumping, contraband, human smuggling, maritime disasters, and the removal of hazardous substances, for maintaining the stability of sea lanes of communication.

However, in recent years, the number of cases of conflict of interests between or among states over natural resources and the security of respective states is increasing. As a result, there is a growing risk of incidents at sea, and of possible escalation into unexpected situations.

In the South China Sea in particular, disputes that have arisen over sovereignty between coastal states and China cause concerns over the maintenance of the rule of law at sea, freedom of navigation, and stability in the Southeast Asian region. In addition, vulnerability is also increasing in sea lanes of communication, spanning between Japan and the Middle East, on which Japan is largely dependent for its natural and energy resources, due to various problems including regional conflicts and international terrorism in and around the coastal states, as well as piracy. Therefore, advancing efforts to address these issues is also important for securing the sea lanes.

Furthermore, the Arctic Sea is deemed to have enormous potential for developing new shipping routes and exploration of natural resources. While it is expected that states concerned work together under relevant international rules, such potential could provide new causes of friction among them.

While outer space has been utilized for civil purposes, from security perspective, the importance of outer space has dramatically increased in recent years, given its use for the reinforcement of capabilities for information gathering and surveillance, as well as for securing communication means for military purposes.

On the other hand, the congestion of outer space has heightened as more countries utilize outer space. There exist risks that could impede the continuous and stable use of outer space with an increasing amount of space debris caused by anti-satellite tests and satellite collisions amongst others, as well as with the development of counter-space weapons.

Cyberspace, a global domain comprised of information systems, telecommunications networks and others, provides a foundation for social, economic, military and other activities. Meanwhile, risks of cyber-attacks with

the intent to steal classified information, disrupt critical infrastructure and obstruct military systems, are becoming more serious.

In Japan, with an increasing level of connecting networks of social systems and various other elements, cyberspace is necessary for promoting both economic growth and innovation through the free flow of information in cyberspace. Protecting cyberspace from the above-mentioned risks is vital to secure national security.

(5) Challenges to Human Security

Globalization has enabled people, goods, capital, and information to instantaneously move across borders in large quantities. As a result, international economic activities have expanded, thereby bringing prosperity to the international community.

In contrast, global issues that cannot be dealt with by a single country - namely, poverty, widening inequality, global health challenges including infectious diseases, climate change and other environmental issues, food security, and humanitarian crises caused by civil wars and natural disasters - are emerging as critical and urgent issues of human security, threatening the very survival and dignity of individuals. The Millennium Development Goals (MDGs), common goals in the development field to be achieved by the international community, are not likely to be achieved in some regions and sectors. In addition, the increasing demand for energy, food, and water resources due to the population growth in developing countries and the expansion of economic scale could cause new conflicts.

These challenges could have repercussions on peace and stability of the international community; therefore, Japan needs to promote necessary measures based on the principle of human security.

(6) The Global Economy and Its Risks

In today's global economy, no economy is self-sufficient and isolated from the world economy; thus the risk of the expansion of an economic crisis from one country to the entire global economy is growing. While this trend is conspicuous in the financial economy, today, it is also witnessed in the real economy, as value chains and supply chains are established across borders with increasing international specialization.

Under these circumstances, there are concerns over fiscal problems and the slowdown in the growth of emerging economies. In some emerging economies and developing countries, visible signs of protectionism as well as reluctance towards the creation of new trade rules have been observed.

Furthermore, in recent years, with the advancement of technological innovation in energy sector, one has seen the rise of resource nationalism in resource rich countries and growing global demand, especially in emerging economies, for energy and mineral resources, followed by the intensified competition for the acquisition of such resources. In addition, given the aggravating environmental problems arising from climate change, there are risks of crunches in global supply and demand as well as temporary shortages of supply in food and water.

2 Security Environment and Challenges in the Asia-Pacific Region

(1) Characteristics of the Strategic Environment of the Asia-Pacific Region

The shift in the global power balance has elevated the importance of the Asia-Pacific region in the international community. While this shift provides opportunities for security cooperation, it has also given rise to regional issues and tensions.

In particular, the region of Northeast Asia is home to a host of actors, such as countries with large-scale military forces, or those possessing nuclear weapons or continuing with nuclear development. Yet a regional cooperation framework in the security realm has not been sufficiently institutionalized. Countries in the region have contrasting political, economic, and social systems, and thus their security views are diverse, which constitutes another characteristic of the strategic environment of this region.

In this context, in addition to the issues and tensions arising from the shift in the balance of power, the Asia-Pacific region has become more prone to so-called "gray-zone" situations, situations that are neither pure peacetime nor contingencies over territorial sovereignty and interests. There is a risk that these "gray-zone" situations could further develop into grave situations.

On the other hand, the Asia-Pacific region is also witnessing a rise in opportunities for bilateral exchanges and cooperation among countries in the region. In addition, there have been multilateral security dialogues, including the ASEAN Regional Forum (ARF), and bilateral and multilateral joint exercises. These initiatives are contributing to the development of mutual understanding and enhancement of joint response capabilities. Therefore, it is important to further promote and develop these multilayered initiatives for regional stability.

(2) North Korea's Military Buildup and Provocative Actions

In the Korean Peninsula, the large-scale military forces of the Republic of Korea (ROK) and North Korea confront each other. While North Korea continues to face serious economic difficulties without any improvement in its human rights situation, North Korea heavily allocates its resources on military affairs today.

In addition, North Korea has enhanced the capability of WMDs including nuclear weapons and that of ballistic missiles. At the same time, North Korea has repeatedly taken provocative military actions in the Korean Peninsula including the use of provocative rhetoric, some of which are directed at Japan, thereby increasing the tension in the region.

In particular, North Korea's ballistic missiles development, including those with ranges covering the mainland of the U.S., along with its continued attempts to miniaturize nuclear weapons for warheads and equipping them to ballistic missiles, substantially aggravate the threat to the security of the region, including Japan. These concerns pose a serious challenge to the entire international community from the viewpoint of the non-proliferation of WMD and related materials.

As Kim Jong-un, First Chairman of the National Defense Commission, has been making efforts to consolidate his regime, the domestic situation in North Korea needs to be closely monitored.

Furthermore, North Korea's abduction is a grave issue affecting Japan's sovereignty as well as the lives and safety of Japanese nationals. It is an urgent issue for the Government of Japan to resolve under its responsibility and a universal issue for the international community to address as a violation of fundamental human rights.

(3) China's Rapid Rise and Intensified Activities in Various Areas

There is an expectation for China to share and comply with international norms, and play a more active and cooperative role for regional and global issues. On the other hand, China has been rapidly advancing its military capabilities in a wide range of areas through its continued increase in its military budget without sufficient transparency. In addition, China has taken actions that can be regarded as attempts to change the status quo by coercion based on their own assertions, which are incompatible with the existing order of international law, in the maritime and aerial domains, including the East China Sea and the South China Sea. In particular, China has rapidly expanded and intensified its activities in the seas and airspace around Japan, including intrusion into Japan's territorial waters and airspace around the Senkaku Islands. Moreover, China has shown the move that appears to unduly infringe the freedom of overflight above the high seas by establishing its own "Air Defense Identification Zone" over the East China Sea.

Such an external stance and military activities by China, coupled with a lack of transparency in its military affairs and security policy, have become an issue of concern to the international community including Japan; therefore, the Government of Japan needs to pay careful attention to this situation.

The relationship between the two sides of the Taiwan Strait has deepened in recent years, primarily in economic areas. Meanwhile, the military balance between the two sides has been changing. Thus, the cross-strait relationship contains both orientations towards stability and potential instability.

IV. Japan's Strategic Approaches to National Security

To ensure national security, Japan needs to first and foremost strengthen its own capabilities and the foundation for exercising those capabilities. Japan must also steadily fulfill the role it should play and adapt its capabilities to respond to future developments.

Enhancing Japan's resilience in national security, through reinforcing its diplomatic power and defense force, as well as bolstering its economic strengths and technological capabilities, contributes to peace and stability in the Asia-Pacific region and the international community at large. This belief forms the core of the strategic approaches in the Strategy.

Moreover, in order to overcome national security challenges and achieve national security objectives, as well as to proactively contribute to peace in cooperation with the international community, Japan needs to expand and deepen cooperative relationships with other countries, with the Japan-U.S. Alliance as the cornerstone. At the same time, Japan needs to make effective use of its diverse resources and promote comprehensive policies.

In light of this, Japan will take the following concrete strategic approaches, centering on diplomatic policy and defense policy.

1 Strengthening and Expanding Japan's Capabilities and Roles

(1) Strengthening Diplomacy for Creating a Stable International Environment

The key of national security is to create a stable and predictable international environment, and prevent the emergence of threats. It is thus necessary for Japan to realize an international order and security environment that are desirable for Japan, by playing an even more proactive role in achieving peace, stability and prosperity of the international community as a "Proactive Contributor to Peace" based on the principle of international cooperation.

This strategic approach first requires the capability to analyze the constantly changing security environment and the course that the international community is taking. On top of this, Japan must have the power to take the lead in setting the international agenda and to proactively advance its national interests, without being confined to a reactionary position to events and incidents after they have already occurred. In doing so, it is necessary to enhance diplomatic creativity and negotiating power to deepen the understanding of and garner support for Japan's position in the international community, through effectively utilizing all strengths and features of the nation. In addition, by highlighting Japan's attractiveness, Japan needs to strengthen its soft power that would benefit the international community. Japan also needs to strengthen its capacity to promptly and accurately identify the needs of Japanese nationals and firms to support their overseas activities. Furthermore, it is the responsibility of Japan as a "Proactive Contributor to Peace" to make even more proactive contributions to international organizations such as the U.N., including through increasing the number of Japanese staff in such institutions. In order to advance such vibrant diplomacy, Japan will strengthen the institutional capabilities through which it undertakes diplomacy. Such overall strengthening of diplomatic capability is critical to ensure the security of Japan.

(2) Building a Comprehensive Defense Architecture to Firmly Defend Japan

Japan's defense force is the final guarantee of its national security which deters direct threats from reaching Japan and defeats any threat that reaches it. Japan will steadily develop its defense force.

To ensure peace and security in Japan amid the severe security environment surrounding the country, Japan will efficiently develop a highly effective and joint defense force, adapting to the change in strategic environment with consideration of its national power and the political, economic, and social situations; and strive to ensure

operations with flexibility and readiness based on joint operations.

Japan will also advance not only the coordination within the government, but also coordination with local governments and the private sector. In doing so, even in peacetime, Japan will maintain and improve a comprehensive architecture for responding seamlessly to an array of situations, ranging from armed attacks to large-scale natural disasters.

In developing the structure of the Japan Self-Defense Forces (SDF), which plays a central role in the above-mentioned efforts, Japan will develop a streamlined planning and programming process, which includes the National Defense Program Guidelines and the Medium Term Defense Program, based on the Strategy to enhance its defense structure for deterrence and response to various situations, prioritizing important functions from a joint and comprehensive perspective.

In addition, with regard to the threat of nuclear weapons, the extended deterrence of the U.S. with nuclear deterrence at its core is indispensable. In order to maintain and enhance the credibility of the extended deterrence, Japan will work closely with the U.S., and take appropriate measures through its own efforts, including ballistic missile defense (BMD) and protection of the people.

(3) Strengthening Efforts for the Protection of Japan's Territorial Integrity

To fully protect its territories, in addition to building a comprehensive defense architecture, Japan will enhance the capabilities of the law enforcement agencies responsible for territorial patrol activities and reinforce its maritime surveillance capabilities. Furthermore, Japan will strengthen coordination among relevant ministries and agencies to be able to respond seamlessly to a variety of unexpected situations.

Japan will also make a constant review on issues that are relevant to ensuring the security of its territories, and take effective measures.

In addition, Japan will proactively engage in the protection, management, and development of remote islands near national borders. Furthermore, from a national security viewpoint, Japan will study the situation of land ownership in areas such as remote islands near national borders and areas surrounding defense facilities, and review issues related to the use of such land.

(4) Ensuring Maritime Security

As a maritime state, Japan will play a leading role, through close cooperation with other countries, in maintaining and developing "Open and Stable Seas," which are upheld by maritime order based upon such fundamental principles as the rule of law, ensuring the freedom and safety of navigation and overflight, and peaceful settlement of disputes in accordance with relevant international law. More concretely, Japan will take necessary measures to address various threats in sea lanes of communication, including anti-piracy operations to ensure safe maritime transport and promote maritime security cooperation with other countries.

Japan will strengthen its maritime domain awareness capabilities that are necessary for the above-mentioned measures, in a comprehensive manner that involves the use of outer space, while paying attention to the establishment of international networks. At the same time, Japan will strive to enhance the frequency and the quality of bilateral and multilateral cooperation on maritime security such as joint exercises.

In particular, sea lanes of communication, stretching from the Persian Gulf, the Strait of Hormuz, the Red Sea and the Gulf of Aden to the surrounding waters of Japan, passing through the Indian Ocean, the Straits of Malacca, and the South China Sea, are critical to Japan due to its dependence on the maritime transport of natural and energy resources from the Middle East. In this regard, Japan will provide assistance to those coastal states alongside the sea lanes of communication and other states in enhancing their maritime law enforcement capabilities, and strengthen cooperation with partners on the sea lanes who share strategic interests with Japan.

(5) Strengthening Cyber Security

Japan as a whole will make concerted efforts in comprehensively promoting cross-cutting measures to defend cyberspace and strengthen the response capability against cyber-attacks, so as to protect cyberspace from malicious activities threatening cyber security; to ensure the free and safe use of cyberspace; and to guard its critical infrastructure against cyber-attacks, including those in which state involvement is suspected.

To this end, Japan will strengthen public-private partnership in the areas of system design, development and operations based on risk assessment, as well as identifying incidents, minimizing damages and their expansion, and analyzing the causes of and preventing similar incidents. In addition, Japan will comprehensively consider and take necessary measures with regard to expanding the pool of human resources in the security field, protection of control systems, and response to the issues of supply chain risk.

Furthermore, Japan will strengthen inter-agency cooperation and define the roles of relevant agencies so that it can reinforce its capability to protect cyberspace and respond to incidents as a nation at large. At the same time, Japan will promote a range of measures, including enhancing the ability and function to oversee, assess, apprehend, analyze, and internationally coordinate on cyber incidents, as well as reinforcing relevant agencies in charge of those tasks.

In promoting these measures, strengthening international partnership in a wide range of areas is essential. For this, Japan will take measures at technical and operational levels to enhance international cooperation.

Japan will also strengthen information sharing and promote cyber defense cooperation with relevant countries.

(6) Strengthening Measures against International Terrorism

Japan will first and foremost strengthen its domestic measures against international terrorism such as ensuring the security of nuclear facilities in Japan. Moreover, in order to ensure the safety of Japanese nationals living abroad, Japan will strengthen such measures as building a network where risk information held by private sectors can be shared more effectively and efficiently; and reinforcing the structure for analyzing the situation of international terrorism and overseas information-collecting capabilities.

(7) Enhancing Intelligence Capabilities

In order to appropriately support decision-making on national security policies, Japan will fundamentally strengthen its information-collecting capabilities from a diverse range of sources, including human intelligence, open source intelligence, signals intelligence, and imagery intelligence. In addition, Japan will promote the utilization of geospatial intelligence with which various types of intelligence are combined.

Moreover, Japan will enhance its intelligence analysis, consolidation, and sharing capabilities by bolstering its human resources, including developing highly-skilled intelligence experts. Japan will thereby promote all-source analysis that makes use of the array of information-collecting means at the Government's disposal.

Furthermore, Japan will operate the intelligence cycle more effectively through the timely provision of materials and intelligence to the NSC, which serves as the control tower of foreign and security policy, and through the appropriate utilization of intelligence in policy formulation. In addition, under the Act on the Protection of Specially Designated Secrets (*provisional English translation), Japan will strengthen its counter intelligence functions by developing such intelligence protection system in order to facilitate intelligence functions throughout the Government.

(8) Defense Equipment and Technology Cooperation

In cases that contribute to peace and international cooperation, there are increasing opportunities to cooperate in a more effective manner, including through the utilization and provision of heavy machinery and other defense equipment carried to disaster-stricken countries and sites by the SDF. Moreover, internationally, it has become mainstream to participate in international joint development and production projects in order to

improve the performance of defense equipment, while dealing with the rising costs of defense equipment. In this context, from the perspective of "Proactive Contribution to Peace" based on the principle of international cooperation, Japan is required to contribute more proactively to peace and international cooperation including through utilizing defense equipment, and to participate in joint development and production of defense equipment and other related items.

Against this backdrop, while giving due consideration to the roles that the Three Principles on Arms Exports and their related policy guidelines have played so far, the Government of Japan will set out clear principles on the overseas transfer of arms and military technology, which fit the new security environment. In this context, considerations will be made with regard to defining cases where transfers are prohibited; limiting cases where transfers could be allowed with strict examination; and ensuring appropriate control over transfers in terms of unauthorized use and third party transfer.

(9) Ensuring the Stable Use of Outer Space and Promoting Its Use for Security Purposes

The stable use of outer space is not only fundamental to the lives of the people and the economy, but is also crucial for national security. Japan will therefore maintain and improve the foundation of science, technology and industry that supports the development and utilization of outer space, and promote the utilization of outer space from a security perspective.

In particular, Japan will engage itself in enhancing the functions of information-gathering satellites and in making effective use of satellites, including ones Japan possesses for the operation of the SDF units, information-gathering and analysis, maritime domain awareness, telecommunication, positioning, navigation and timing. In addition, Japan will enhance a system for space situational awareness.

Furthermore, Japan will promote the development and utilization of outer space in a manner that contributes to national security in the medium- to long-term, including the development of technologies such as satellite manufacturing.

(10) Strengthening Technological Capabilities

The advanced technology of Japan constitutes the foundation of its economic strength and defense forces, and is also a valuable resource that the international community strongly seeks from Japan. Therefore, Japan should encourage the further promotion of technologies, including dual use technologies, thereby strengthening Japan's technological capabilities.

In promoting measures for strengthening its technological capabilities from a national security viewpoint, Japan will constantly grasp science and technology trends, including information on technology development. Japan will also make effective use of technology in the area of security, by combining the efforts of industries, academia, and the Government.

Furthermore, Japan's outstanding energy-saving and other environment-related technologies play an important role in Japan's efforts to tackle global issues together with the international community. Therefore, Japan will proactively utilize these technologies in diplomacy as well.

2 Strengthening the Japan-U.S. Alliance

For more than 60 years, the Japan-U.S. Alliance, with the Japan-U.S. security arrangements at its core, has played an indispensable role for peace and security in Japan as well as peace and stability in the Asia-Pacific region. In recent years, the Alliance has also played a more critical role for peace, stability, and prosperity in the international community.

The Japan-U.S. Alliance is the cornerstone of Japan's security. Likewise, for the U.S., the Alliance has

served as the core of its alliance network with countries in the region, including the Republic of Korea (ROK), Australia, Thailand, and the Philippines. In this context, the Japan-U.S. Alliance has been serving as a foundation for the U.S. strategy in the Asia-Pacific region. Such close alliance between Japan and the U.S. is underpinned by various factors, including that the two countries share common strategic interests and universal values, such as freedom, democracy, respect for fundamental human rights, and the rule of law. Furthermore, Japan's geostrategic importance in supporting the U.S. engagement in the Asia-Pacific region underlies the close alliance of the two countries.

With the above-mentioned Japan-U.S. Alliance serving as the foundation, the two countries have been working closely at various levels, including at the summit and ministerial levels. The two countries address not only bilateral issues, but also the situation in the Asia-Pacific region, including North Korea, as well as global security issues, including counterterrorism measures and non-proliferation of WMD.

In the area of economy, Japan and the U.S. aim to achieve economic prosperity in the Asia-Pacific region in a rules-based and transparent manner, including through the Trans-Pacific Partnership (TPP) negotiations, which will be mentioned later in this document.

Thus, Japan and the U.S. have persistently strengthened and expanded their cooperation on a wide range of areas for peace, stability, and prosperity of not only the two countries themselves, but also the Asia-Pacific region and the broader international community.

As Japan strengthens its efforts in security as elaborated above, the U.S., based on its Defense Strategic Guidance emphasizing a rebalancing towards the Asia-Pacific region, aspires to enhance its presence in the region and strengthen cooperation with its allies, including Japan and its partners.

In order to ensure the security of Japan and to maintain and enhance peace, stability, and prosperity in the Asia-Pacific region and the international community, Japan must further elevate the effectiveness of the Japan-U.S. security arrangements and realize a more multifaceted Japan-U.S. Alliance. Based on this recognition, Japan will undertake the following initiatives:

(1) Further Strengthening of Japan-U.S. Security and Defense Cooperation in a Wide Range of Areas

Japan ensures its national security by enhancing deterrence through the strengthening of its own defense capability, as well as by the deterrence of the Japan-U.S. Alliance, including the extended deterrence provided by the U.S.

Japan will work with the U.S. to revise the Guidelines for Japan-U.S. Defense Cooperation, through discussions on a variety of issues such as the concrete manner of defense cooperation and basic concepts of bilateral roles, missions, and capabilities (RMC), while ensuring consistency with various policies in line with the Strategy.

In addition, Japan will strive to enhance the deterrence and response capability of the Japan-U.S. Alliance through the following efforts: advancing joint training, joint intelligence, surveillance, and reconnaissance (ISR) activities, and joint/shared use of facilities by the SDF and the U.S. forces; working closely with the U.S. on operational cooperation and policy coordination on issues such as response to contingencies and the medium-to long-term strategy; strengthening its security cooperation with the U.S. in such broad areas as BMD, maritime affairs, outer space, cyberspace and large-scale disaster response operations.

Moreover, in order to strengthen the foundation of the Alliance, including enhanced interoperability, Japan will advance multilayered initiatives with the U.S. such as defense equipment and technology cooperation and personnel exchanges.

(2) Ensuring a Stable Presence of the U.S. Forces

To maintain and enhance the Japan-U.S. security arrangements, it is important for Japan to cooperate proactively with the U.S. to realize the optimal force posture of the U.S. forces in the Asia-Pacific region. At the same time, it is also important for Japan to reduce the impact of the U.S. forces in Japan on local communities,

including Okinawa, while maintaining and enhancing the deterrence of the Japan-U.S. Alliance.

As part of this effort, while firmly supporting the smooth and effective stationing of the U.S. forces in Japan through various measures, including Host Nation Support, Japan will steadily implement the realignment of the U.S. forces in Japan including the relocation of the U.S. Marine Corps in Okinawa to Guam in accordance with the existing bilateral agreements. In addition, Japan will further promote the joint/shared use of facilities by the SDF and the U.S. forces, while taking into consideration relations with local communities.

Furthermore, Japan will steadily implement measures to reduce the impact on people living near the facilities and areas of the U.S. forces in Japan. In particular, Okinawa Prefecture is situated in a critically important location in terms of national security, and the stationing of the U.S. forces there significantly contributes to the deterrence of the Japan-U.S. Alliance. In the meantime, as a large part of the facilities and areas for the exclusive use of the U.S. forces in Japan are concentrated in the prefecture, Japan will make utmost efforts to reduce the impact on Okinawa, including through the relocation of Marine Corps Air Station Futenma.

3 Strengthening Diplomacy and Security Cooperation with Japan's Partners for Peace and Stability in the International Community

As elaborated above, strengthening the Japan-U.S. alliance in all its aspects, including in political, economic and security areas is indispensable to improve the security environment surrounding Japan. On top of that, Japan will engage itself in building trust and cooperative relations with other partners both within and outside the Asia-Pacific region through the following approaches, as it plays an important role in enhancing Japan's security environment.

- (1) Japan will strengthen cooperative relations with countries with which it shares universal values and strategic interests, such as the ROK, Australia, the countries of ASEAN, and India:
- The ROK is a neighboring country of the utmost geopolitical importance for the security of Japan. Close cooperation with the ROK is of great significance for peace and stability of the region, including in addressing North Korean nuclear and missile issues. For this reason, Japan will construct future-oriented and multilayered relations and strengthen the foundation for security cooperation with the ROK. In particular, trilateral cooperation among Japan, the U.S. and the ROK is a key framework in realizing peace and stability in East Asia. Japan will strengthen this trilateral framework, including in cooperation on North Korean nuclear and missile issues. With regard to the issue over the sovereignty of Takeshima, Japan will make persevering diplomatic efforts, based on the principle of peaceful resolution of conflicts in accordance with international law.
- Australia is an important regional partner that shares not only universal values but also strategic interests with Japan. In addition to strengthening the mutually complementary economic relations between the two countries, Japan will also strengthen its strategic partnership by steadily sharing strategic recognition and advancing security cooperation. Japan will also promote a wide range of cooperation with Australia in its efforts to shape a regional order in the Asia-Pacific and to maintain and reinforce peace and stability in the international community. In so doing, Japan will utilize the trilateral framework among Japan, the U.S. and
- The countries of ASEAN, where economic growth and democratization have been progressing and which embraces great cultural diversity, are located in the critical areas of sea lanes of communication of Japan. Japan will further deepen and develop cooperative relations with the ASEAN countries in all sectors, including politics and security based on the traditional partnership lasting more than 40 years. Given the influence ASEAN has on peace, stability and prosperity of the Asia-Pacific region as a whole, Japan will provide further assistance to their efforts towards maintaining and strengthening the unity of ASEAN. Furthermore, Japan appreciates the

efforts by the countries concerned to settle disputes in the South China Sea not by force, but in accordance with the law and rules, as shown in their efforts towards the formulation of a Code of Conduct (COC) with China. Japan will support these efforts so that an effective and legally binding code of conduct is formulated.

- •India is becoming increasingly influential, due to what is projected to become the world's largest population, and to high economic growth and potential. India is also geopolitically important for Japan, as it is positioned in the center of sea lanes of communication. Japan will strengthen bilateral relations in a broad range of areas, including maritime security, based on the bilateral Strategic and Global Partnership.
- (2) Stable relations between Japan and China are an essential factor for peace and stability of the Asia-Pacific region. From a broad, as well as a medium- to long-term perspective, Japan will strive to construct and enhance a Mutually Beneficial Relationship Based on Common Strategic Interests with China in all areas, including politics, economy, finance, security, culture and personal exchanges. In particular, Japan will continue to encourage China to play a responsible and constructive role for the sake of regional peace, stability and prosperity, to adhere to international norms of behavior, as well as to improve openness and transparency in its advancing military capabilities through its rapidly increasing military budget. As a part of such efforts, through continuing and promoting defense cooperation, Japan will seek to urge improvement in transparency of China's military and security policies, and promote measures such as establishing a framework to avert or prevent unexpected situations. Furthermore, with regard to China's recent attempts to change the status quo by coercion based on its unique assertion in its relations with neighboring countries, including Japan, Japan will urge China to exercise self-restraint and will continue to respond firmly but in a calm manner without escalating the situation.
- (3) With regard to the issues of North Korea, Japan will cooperate closely with relevant countries to urge North Korea to take concrete actions towards its denuclearization and other goals, based on the Joint Statement of the Six-Party Talks and relevant U.N. Security Council (UNSC) Resolutions. Concerning Japan-North Korea relations, Japan will endeavor to achieve a comprehensive resolution of outstanding issues of concern, such as the abduction, nuclear and missile issues, in accordance with the Japan-North Korea Pyongyang Declaration. In particular, it is the basic recognition of Japan that normalization of relations with North Korea will not be possible without resolving the abduction issue. Japan will make every effort to realize the safety and prompt return of all abductees at the earliest possible date, investigate the truth regarding the abductions, and transfer those who executed the abductions.
- (4) Under the increasingly severe security environment in East Asia, it is critical for Japan to advance cooperation with Russia in all areas, including security and energy, thereby enhancing bilateral relations as a whole, in order to ensure its security. Based on this recognition, Japan will cooperate with Russia in securing peace and stability of the Asia-Pacific region. With regard to the issue of the Northern Territories, the most important pending issue between the two countries, Japan will vigorously negotiate with Russia under a consistent policy of resolving the issue of the attribution of the four islands and concluding a peace treaty.
- (5) In promoting the efforts mentioned above, Japan will actively utilize and engage in the further development of functional and multilayered frameworks for regional cooperation, starting from Asia-Pacific Economic Cooperation (APEC), the East Asia Summit (EAS), ASEAN+3, ARF, the ASEAN Defense Ministers' Meeting-Plus (ADMM-Plus), to the Trans-Pacific Partnership (TPP) and trilateral frameworks, such as Japan-U.S.-ROK, Japan-U.S.-Australia and Japan-U.S.-India, as well as Japan-China-ROK, a grouping of three large neighboring economic powers. In addition, Japan will appropriately contribute to the creation of a more institutional security framework in East Asia in the future.

- (6) Japan will also cooperate with other partners of the Asia-Pacific region towards ensuring the stability of the region. These partners include Mongolia, Central Asian countries, Southwest Asian nations, the Pacific Island Countries (PICs), New Zealand, Canada, Mexico, Colombia, Peru and Chile. In particular, Japan will deepen its cooperation with the PICs, which possess vast exclusive economic zones and abundant maritime resources in the Pacific Ocean, in many areas including maritime cooperation, through such fora as the Pacific Islands Leaders' Meeting (PALM).
- (7) Furthermore, Japan will strengthen cooperative relations with countries outside the Asia-Pacific region that play an important role in ensuring the peace and stability of the international community.
- •Europe has the influence to formulate international public opinions, the capacity to develop norms in major international frameworks and a large economy. Japan and European countries, especially the United Kingdom, France, Germany, Italy, Spain and Poland, share universal values of freedom, democracy, respect for fundamental human rights and the rule of law, and principles such as market economy. They are partners for Japan which together take a leading role in ensuring the peace, stability and prosperity of the international community. At a time when the power balance of the international community is changing, in order to establish an international order based on universal values and rules, to effectively address global challenges, and to accomplish Japan's initiatives for a peaceful and prosperous international community, Japan will further strengthen its relations with Europe, including cooperation with the European Union (EU), the North Atlantic Treaty Organization (NATO), and the Organization for Security and Co-operation in Europe (OSCE). Japan has contributed to the democratization of East European countries and Baltic countries, and will engage in strengthening relations with them, as well as the Caucasus countries.
- Emerging countries such as Brazil, Mexico, Turkey, Argentina and South Africa have been increasing their presence not only in the international economy, but also in international politics. Japan will therefore endeavor to further develop relations with such countries, not merely on a bilateral basis, but in cooperative efforts in tackling global challenges.
- •Stability in the Middle East is an issue that is inseparably linked to the stable supply of energy, and therefore Japan's very survival and prosperity. Given that the Gulf States are the largest source of crude oil for Japan, in order to ensure the stability of the Middle East, Japan will engage in constructing multilayered cooperative relations with these countries, encompassing wide-raging economic cooperation beyond resources and energy, as well as politics and security. In this context, Japan will play a proactive role in the resolution of major issues affecting the stability of the Middle East, including the issue of democratization in Arab countries that stems from the "Arab Spring," the situation in Syria, Iran's nuclear issue, the Middle East peace process and peacebuilding in Afghanistan. In the same vein, Japan will also collaborate with other countries that play important roles in the Middle East, such as the U.S., European countries, Saudi Arabia and Turkey.
- Africa is a prospective economic frontier with abundant strategic natural resources and sustained economic growth. In addition, Africa has been increasing its influence in the international community. Japan will continue to contribute to the development and the consolidation of peace in Africa through various avenues, especially through the Tokyo International Conference on African Development (TICAD) process, and promote cooperation in international fora.

4 Proactive Contribution to International Efforts for Peace and Stability of the International Community

As a "Proactive Contributor to Peace" based on the principle of international cooperation, Japan will play an active role for the peace and stability of the international community.

(1) Strengthening Diplomacy at the United Nations

The U.N. was established with the UNSC as the core of a collective security system for maintaining international peace and security. However, the system has not fully functioned as originally anticipated. Nevertheless, the U.N. has taken the lead on various efforts for peace and security of the world, backed by its legitimacy through universal participation by the Member States and its expertise. In particular, since the end of the Cold War, the role played by the U.N. in maintaining international peace and security has continued to grow.

Building on the invaluable experiences of having served on numerous occasions as a non-permanent member of the UNSC, Japan will further engage in active efforts by the U.N. for the maintenance and restoration of international peace and security.

Moreover, Japan will actively contribute to diverse U.N.-led efforts, including U.N. peacekeeping operations (PKO) and collective security measures; diplomatic efforts such as preventive diplomacy and mediation; seamless assistance efforts from the phase of post-conflict emergency humanitarian relief to recovery and reconstruction, as well as assistance through the U.N. Peacebuilding Commission.

At the same time, one must be mindful that realizing the enhancement of the effectiveness and legitimacy of the U.N., including the strengthening of collective security functions, is an urgent challenge. Therefore, Japan will continue to strive to achieve the UNSC reform, including through an expansion of both permanent and non-permanent categories, with Japan becoming a permanent member of the Council.

(2) Strengthening the Rule of Law

Japan will continue to faithfully comply with international law as a guardian of the rule of law. In addition, in order to establish the rule of law in the international community, Japan will participate proactively in international rule-making from the planning stage, so that Japan's principles and positions based on fairness, transparency and reciprocity are duly reflected. Furthermore, Japan will actively support international judicial organs in terms of both human capital and finance. In addition, Japan will actively engage in assistance for the development of legal systems in other countries.

In particular, Japan will involve itself in realizing and strengthening the rule of law relating to the sea, outer space and cyberspace. While advancing policy coordination with countries with shared interests, Japan will contribute proactively to the development of international rules in the above-mentioned areas, and to the promotion of confidence building measures among countries of mutual interest. In addition, Japan will further strengthen capacity building efforts for developing countries in these fields. More concretely:

- With regard to the sea, Japan will promote regional efforts and play a leading role in creating a shared recognition that reinforcement of the maritime order governed by law and rules and not by coercion is indispensable for peace and prosperity of the international community as a whole.
- •With regard to outer space, emphasizing the concept of ensuring freedom of access and utilization of space, Japan will participate proactively in the efforts to formulate an international code of conduct that aims to prevent experiments of anti-satellite weapons (ASAT) and avoid collision of satellites, and consequently strive to ensure safe and stable use of outer space.
- •With regard to cyberspace, based on the recognition of ensuring the free flow of information in cy berspace, Japan will actively cooperate with like-minded countries in the development of international rules on the premise that existing international law applies to cyberspace. Japan will also vigorously support the capacity building efforts of developing countries in this area.

(3) Leading International Efforts on Disarmament and Non-Proliferation

Japan, as the only country in the world to have suffered atomic bombings in war, will continue its vigorous efforts to seek "a world free of nuclear weapons."

In view of the threat posed by progress in nuclear and missile development by North Korea, and being

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mindful of future trends in the balance of nuclear forces in the Asia-Pacific region together with the rapid advancement of military technologies, Japan will lead international efforts on disarmament and non-proliferation, including those towards the resolution of North Korea's nuclear and missile development issues and Iran's nuclear issues, in a manner consistent with the maintenance of the credibility of extended deterrence under the Japan-U.S. alliance.

Furthermore, Japan will steadily implement export control measures from a security perspective, including active participation in the discussions in the international export control regime, in coordination with other relevant countries, to prevent the proliferation of arms, as well as dual use items or technologies to countries of proliferation concern. In addition, Japan will engage in international efforts on conventional weapons, such as small arms and light weapons, and anti-personnel mines.

(4) Promoting International Peace Cooperation

Over the course of more than 20 years, Japan has dispatched SDF units and other personnel to various regions on international peace cooperation assignments, including in Cambodia, the Golan Heights, Timor-Leste, Nepal, and South Sudan. These contributions have been deeply appreciated both in Japan and by the international community.

Japan will further step up its cooperation with U.N. PKO and other international peace cooperation activities with its determination to contribute even more proactively to peace based on the principle of international cooperation, taking into account the appreciation and expectation Japan receives from the international community. In addition, when participating in PKO, Japan will endeavor to ensure effective implementation of its operations, through coordination with other activities, including ODA projects.

Moreover, in order to implement seamless assistance in security-related areas, including through further strategic utilization of ODA and capacity building assistance, as well as coordination with non-governmental organizations (NGOs), Japan will develop a system that enables assistance to potential recipient organizations that cannot receive Japan's assistance under the current schemes.

Furthermore, Japan as a whole will proactively engage in training for peacebuilding experts and PKO personnel in various countries. When engaging in such efforts, Japan will consult closely with countries or organizations that have experience in the same fields, including the U.S., Australia and European countries.

(5) Promoting International Cooperation against International Terrorism

Acts of terrorism are unjustifiable regardless of their motivation and must be firmly condemned. It is important for the international community as a whole to take a firm position against them.

Japan will promote international counter-terrorism efforts with the international community for national security. Japan will promote consultations and exchanges of views with other countries on the situation on international terrorism and international counter-terrorism cooperation; reinforcement of the international legal framework to stringently punish terrorists; and assistance to developing countries which do not have sufficient capacity for counter-terrorism and other measures.

Furthermore, Japan must be aware that terrorism and transnational organized crime are closely linked in light of the situation whereby the proceeds of organized crime, such as illicit trafficking, the trade of arms and drugs, and kidnapping, form an important source of funding for terrorists. Therefore, Japan will enhance international cooperation and assistance for developing countries to prevent and combat transnational organized crime.

5 Strengthening Cooperation Based on Universal Values to Resolve Global Issues

Japan will endeavor to share universal values and reinforce an open international economic system, which form the basis of peace, stability and prosperity of the international community. At the same time, Japan will advance the following measures towards the resolution of development issues and global issues that could hinder peace and stability of the international community, such as poverty, energy issues, widening disparity, climate change, natural disasters, and food-related issues, through the active and strategic utilization of ODA, as necessary.

(1) Sharing Universal Values

Through a partnership with countries with which Japan shares universal values, such as freedom, democracy, respect for fundamental human rights including women's rights, and the rule of law, Japan will conduct diplomacy that contributes to addressing global issues.

The wave of democratization that began in the countries of Eastern Europe and ASEAN in the 1990s and spread to the countries of the Arab world at the beginning of the 2010s has become an irreversible tide, coupled with the rapid development of globalization and market-oriented economic reforms.

On the other hand, as was observed in the "Arab Spring," the process of democratization does not always proceed smoothly. As an advanced, liberal and democratic nation, based on the principle of human security, Japan will actively utilize its ODA in supporting democratization, the development of legal systems, and human rights, and contribute to the enhancement of the growing international trend towards the protection of human rights, including through dialogues in the area of human rights.

Japan will also engage proactively in diplomatic issues on women, cooperating with the international community to implement measures to empower women in conflict prevention and peacebuilding, and promote their social advancement.

(2) Responding to Global Development and Global Issues and Realizing Human Security

Japan has garnered high recognition by the international community, by its proactive contribution to global development in the world through utilizing ODA. Addressing development issues contributes to the enhancement of the global security environment, and it is necessary for Japan to strengthen its efforts as part of "Proactive Contribution to Peace" based on the principle of international cooperation.

Against this backdrop, in order to contribute to the realization of human security, Japan will utilize its ODA in a strategic and effective manner. Japan will also strengthen efforts towards the achievement of the MDGs, in areas such as poverty eradication, global health, education and water, in cooperation with diverse stakeholders, including international organizations and NGOs.

In addition, Japan will play a leading role in the formulation of new international development goals, namely the post-2015 development agenda. In this context, Japan will engage in further efforts in mainstreaming the concept of human security in the international community, building on our initiatives on this agenda to date.

Moreover, Japan will share the lessons learned and experiences from the many natural disasters that it has experienced, including the Great Hanshin-Awaji Earthquake, the Great East Japan Earthquake and Tsunami. Given the expanding scale, impact and frequency of disasters globally, Japan will take the lead in international cooperation on disaster management and ensure that communities around the world have a high degree of resilience to disasters.

(3) Cooperating with Human Resource Development Efforts in Developing Countries

Japan will invite a broad range of personnel from developing countries, including eminent students and administrative officials who are expected to become future leaders. Japan will make use of such opportunities

to learn from their knowledge and experience, as well as providing them with opportunities to be familiarized with Japanese systems, technologies and expertise. Japan will further promote human resource development in order to enhance mutual understanding with Japan, and ensure that these personnel can contribute to sustainable economic and social development in their home countries.

Japan will engage in efforts to maintain and develop such human networks to expand and reinforce the foundations of cooperation.

(4) Maintaining and Strengthening the Free Trade System

The expansion of the open and rule-based international economic system, where Japan continues to be a major player, is essential for the world economy and Japan's economic prosperity. In this regard, Japan will promote economic partnership, including through the Trans-Pacific Partnership (TPP), the Japan-EU Economic Partnership Agreement (EPA), a Free Trade Agreement (FTA) among Japan, China and the ROK, and the Regional Comprehensive Economic Partnership (RCEP), so as to achieve comprehensive and high-level trade agreements. Through these efforts, Japan will contribute to the growth of the global economy, which in turn, will also bring economic growth to Japan.

In addition, rule-making for trade and investment in the Asia-Pacific region through the above-mentioned efforts strengthens the vigor and prosperity in the region, and has a strategic importance of strengthening the foundation for a stable security environment in the region.

It is expected that the conclusion of such 21st- century economic partnership agreements will set new and attractive precedents of trade liberalization, and promote global-scale trade liberalization in the multilateral trade regime based on the WTO.

(5) Responding to Energy and Environmental Issues

The stable supply of energy and other resources is essential for a vibrant Japanese economy and thus constitutes a challenge to national security. Promoting measures such as the diversification of supply sources is necessary for securing stable and low-cost resource supply. Japan will actively utilize diplomatic tools to gain the understanding of countries concerned in this course.

In the area of climate change, Japan will increase its engagements towards emission reduction. Japan will implement a proactive strategy for countering global warming (the Actions for Cool Earth (ACE)) that utilizes its strengths in outstanding technologies on environment and energy, and its assistance to developing countries. At the same time, Japan will engage in establishing a fair and effective international framework with participation by all countries. Through these efforts, Japan will contribute to the achievement of emission reduction by the international community as a whole and to the resolution of climate change issues.

(6) Enhancing People-to-people Exchanges

People-to-people exchanges are significant as they enhance mutual understanding and friendship between countries and solidify national ties, while also helping to develop a stable and friendly security environment by deepening an appropriate understanding towards Japan in the international community.

In particular, Japan will implement measures to expand two-way youth exchanges and will seek to strengthen relations with various countries into the future. For example, Japan has recently marked 40 years of friendship and cooperation with ASEAN, where regional integration is advancing while maintaining cultural diversity. By further vitalizing exchange programs with ASEAN, Japan will further promote mutual understanding.

Moreover, through events of interest for the world, such as the 2020 Olympic and Paralympic Games in Tokyo, Japan will promote people-to-people exchanges through sport and culture, and will work to construct and deepen friendly relations at the individual level.

6 Strengthening the Domestic Foundation that Supports National Security and Promoting Domestic and Global Understanding

In order to fully ensure national security, in addition to strengthening key capabilities with diplomatic power and defense force at their core, it is vital to reinforce the domestic foundation for these capabilities to be effectively demonstrated.

Furthermore, considering the importance of seeking a deeper understanding for Japan's security policies both at home and abroad to ensure national security, Japan will advance the following measures.

(1) Maintaining and Enhancing Defense Production and Technological Bases

Defense production and technological bases are one of the important factors that support defense forces through research, development, production, operation and maintenance of defense equipment. In order to develop, maintain and operate defense capability steadily with limited resources in the medium- to long-term, Japan will endeavor to engage in effective and efficient acquisition of defense equipment, and will maintain and enhance its defense production and technological bases, including through strengthening international competitiveness.

(2) Boosting Communication Capabilities

In order to promote its security policy from a medium- to long-term perspective, it is imperative that Japan proactively and effectively communicate its policy to the world and its people, and increase transparency. In this regard, it is necessary to deepen the understanding among the people of Japan regarding security policy and build cooperative relations and trust with other countries.

To this end, with the Prime Minister's office serving as the control tower, Japan will enhance its public relations in an integrated and strategic manner through a government-wide approach. Fully utilizing various information technologies and diverse media, Japan will also strengthen its information dissemination in foreign languages. In addition, the Government as a whole will cooperate with educational institutions, key figures, and think tanks. In doing so, Japan will promote Japanese language education overseas, and train personnel who are capable of contributing to strategic public relations efforts and other areas.

At a time when the global security environment is becoming more complex and diverse, it becomes increasingly likely for countries to have conflicting interests. However, by precisely and effectively communicating information on Japan's position based on objective facts, Japan will be able to gain accurate understanding in the forum of international opinion, and contribute to the stability of the international community.

(3) Reinforcing the Social Base

In order to support national security policy from a medium- to long-term perspective, it is essential that each and every Japanese national hopes to contribute to peace and stability in the region and the world, and to the improvement of the welfare of humanity. In addition, it is also essential that they perceive national security as a familiar and immediate issue for them, and have deep understanding of its importance and complexity.

To that end, the Government of Japan will promote the following measures: foster respect for other countries and their people as well as love for the country and region; raise awareness with regard to security on such issues as territory and sovereignty; and ensure the understanding and cooperation of residents around defense facilities, which serve as the foundation for the activities of the SDF and the U.S. forces in Japan, through advancing measures that widen the understanding of the general public about the current status of such activities.

Japan's Strategic Approaches to National Security

(4) Enhancing the Intellectual Base

In order to invigorate a national discussion and debate and contribute to high-quality policymaking on national security, Japan will seek to enhance and strengthen education on security-related subjects at institutions of higher education, including through the dispatch of officials of relevant ministries. In addition, Japan will promote practical research on national security, and engage in deepening exchanges among the Government, higher education institutions and think tanks, thereby promoting the sharing of insight and knowledge

Furthermore, Japan will promote the creation of experts and government officials that can make practical and constructive contributions to national security policy, thus broadening the pool of experts on national security.